



04-30-03

3/424

PATENT
RD-27419

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Smith et al. :
: Art Unit: 3624
Serial No.: 09/392,028 :
: Examiner: Felten, D.
Filed: September 8, 1999 :
:
For: METHODS AND APPARATUS :
FOR PROCESSING CASH :
ADVANCE REQUESTS :

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THE COMMISSIONER OF PATENTS AND TRADEMARKS**GROUP 3600****Express Mail mailing label number: EV263875814US****Date of Mailing: April 29, 2003**

I certify that the documents listed below:

- Amendment Transmittal (3 pgs., in duplicate)
- Amendment in Response to Office Action dated December 18, 2002 (19 pgs.)
- Submission of Marked Up Claims (5 pgs.)
- Certificate of Mailing via Express Mail (1 pg.)
- Return post card

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PATENT
RD-27,419

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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: Art Unit: 3624
Serial No.: 09/392,028 :
: Examiner: Felten, D.
Filed: September 8, 1999 :
:
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Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL

1. Transmitted herewith is:
Amendment in Response to Office Action dated December 18, 2002; Submission of
Marked Up Claims; Certificate of Mailing by Express Mail

STATUS

2. Applicant
☒ claims small entity status.
☐ is other than a small entity.

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MAY 05 2003

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CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8a)

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Date: April 29, 2003

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Office

Patrick W. Rasche

Patrick W. Rasche
Reg. No. 37,916

EXTENSION OF TERM

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.

(complete (a) or (b), as applicable)

- (a) ✓ Applicant petitions for an extension of time under 37 C.F.R. 1.136
(Fees: 37 C.F.R. 1.17(a)-(d) for the total number of months checked below:)

Extension for response within:	Other than small entity Fee	Small entity Fee (if applicable)
<u> </u> first month	\$ 110.00	\$ 55.00
<u>✓</u> second month	\$ 410.00	\$ 205.00
<u> </u> third month	\$ 930.00	\$ 465.00
<u> </u> fourth month	\$1,450.00	\$ 725.00
<u> </u> fifth month	\$1,970.00	\$ 985.00

Fee: \$ 410.00

If an additional extension of time is required, please consider this a petition therefor.

(Check and complete the next item, if applicable)

 An extension of months has already been secured. The fee paid therefor \$ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ 410.00.

OR

- (b) Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)	SMALL ENTITY		OTHER THAN SMALL ENTITY
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	ADDITIONAL RATE FEE	OR	ADDITIONAL RATE FEE
TOTAL		MINUS		=	x \$9 = \$		x \$18 = \$
INDEP.		MINUS		=	x \$42 = \$		x \$84 = \$
—	FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				+ \$130 = \$		+ \$280 = \$
					TOTAL ADDITIONAL FEE \$	OR	TOTAL ADDITIONAL FEE \$

(a) ☒ No additional fee for Claims is required

OR

(b) _____ Total additional fee for claims required \$

FEE PAYMENT

5. _____ Attached is a check in the sum of \$_____

☒ Charge Deposit Account No. 01-2384 the sum of \$410.00
A duplicate of this transmittal is attached.

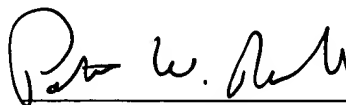
FEE DEFICIENCY

6. ☒ If any additional extension and/or fee is required, charge Deposit Account No. 01-2384.

AND/OR

☒ If any additional fee for claims is required, charge Deposit Account No. 01-2384.

7. _____ Other:



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AMENDMENT

Hon. Commissioner for Patents
Washington, D.C. 20231

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In response to the Office Action dated December 18, 2002, Applicants respectfully request entry and consideration of the following amendment:

IN THE CLAIMS:

1. (twice amended) A method for processing cash advance requests using a process management and workflow system coupled to a data repository, said method comprising the steps of:

receiving, from a customer, at the process management and workflow system, collateral information including information relating to the customer's accounts receivable, accounts payable, and inventory;

storing the collateral information in the data repository;

receiving from the customer a cash advance request at the process management and workflow system;